



### Securities Fee Schedule 證券交易服務收費表

Service Items 服務	Charges (HKD*) 收費 (港元*)	Min./Max. Charges 最低/最高收費
<b>Hong Kong Securities Trading 香港股票交易</b>		
Commission 佣金	Negotiable 面議	
Stamp Duty 印花稅	0.1% on order consideration (Round up to the nearest dollar) 每單交易金額之 0.1% (不足 1 元亦作 1 元計算)	
Transaction Levy (SFC) 證監會交易徵費	0.0027% on order consideration 每單交易金額之 0.0027%	
Trading Fee (HKEX) 港交所交易費	0.00565% on order consideration 每單交易金額之 0.00565%	
CCASS Fee 中央結算費	0.002% on consideration 每宗成交交易金額的 0.002%	Min. HK\$2, Max. HK\$100 最低 2 港元，最高 100 港元
<b>IPO Subscription 新股認購</b>		
Non-financing 現金認購	Online Trading System Handling Charges : Waive 經網上交易系統認購：免費 Manual application Handling Charges : HK\$50 經人手認購：50 港元	
Financing 孖展認購	Handling charges HK\$100 + Interest 一律 100 港元，另加相關利息開支	
<b>Settlement-related Services 處理實股及交收股務</b>		
Physical scrip deposit 實貨存入	Waived 免費	
Physical scrip withdrawal 實貨提取	HK\$5 per board / odd lot (per stock) 每手 5 港元，不足一手亦視作一手計算 (以每隻股票計算)	Min. HK\$30 最低 30 港元
Settlement Instruction 交收指示 - Investor Participant (ISI) 中央結算投資者戶口 - CCASS (SI) 中央結算	Waived 免費	
Deposit 存入 Withdrawal 提取	Waived 免費 HK\$10 per board / odd lot 每手 10 港元，不足一手亦視作一手計算 (以每隻股票計算)	
Transfer Deed Stamp Duty 轉手紙厘印費	HK\$5 per transfer deed 每張轉手紙 5 元	
Compulsory share buy-back fee 強制性補購股份費用	HK\$250 per transaction + penalty charged by HK Clearing 每隻每次 250 港元，另加香港結算罰款	
<b>Account Maintenance 代理人服務及企業行動</b>		
Custody fee 託管費	Waived 免費	
Confirmation of stock/cash balance 提供資產證明記錄	HK\$150 per confirmation letter 每次 150 港元	
Admin. fee for mailing statements 郵寄收取結單行政費	Local mail HK\$50/month; Overseas mail HK\$100/month 寄本地每月 50 港元，非本地每月 100 港元	
Request for historical statement fee 補回結單收費	HK\$25 per statement beyond 3 months 補回 3 個月以前之結單，每份結單 25 港元	



Nominee Services & Corporate Actions 代理人服務及企業行動		
Scrip Fee 登記過戶費	HK\$1.5 per lot 每手 1.5 港元	
Collection fee for cash / scrip dividend 代收現金股息/以股代息	0.5% of total dividend received 代收股息之 0.5%	Min. HK\$30 最低 30 港元
Collection fee for bonus share 代收紅股	HK\$1 per lot (round up to the nearest board lot) 每手 1 港元，不足一手亦視作一手計算	Min. HK\$30 最低 30 港元
Collection fee for Rights / Open Offer 代收股權	HK\$1 per lot (round up to the nearest board lot) 每手 1 港元，不足一手亦視作一手計算	Min. HK\$30 最低 30 港元
Share consolidation / split 股份合併/分拆	Waived 免費	
Exercise Warrants / CBBCs/ Rights / Redemption / Open Offer 行使認股權證/牛熊證/供股權/ 贖回/ 公開配售	Handling charges HK\$50 + HK\$0.8 per board lot (round up to the nearest board lot) + Stamp duty 0.13% of total amount (round up to the nearest dollar) 手續費 50 港元 + 中央結算費每手 0.8 港元(碎股亦視作一手) + 印花稅，每單金額之 0.13% (不足 1 港元亦作 1 港元計算)	
Cash offer 現金收購	Handling charges HK\$50 + HK\$0.8 per board lot (round up to the nearest board lot) + Stamp duty 0.13% of total amount (round up to the nearest dollar) 手續費 50 港元 + 中央結算費每手 0.8 港元(碎股亦視作一手) + 印花稅，每單金額之 0.13% (不足 1 港元亦作 1 港元計算)	
Others 其他		
Cash account overdue interest <sup>#</sup> 現金戶口結欠利息 <sup>#</sup>	P + 5% 最優惠利率 + 5%	
Margin account overdue interest <sup>#</sup> 保證金 (俗稱“孖展”) 戶口結欠利息 <sup>#</sup>	P + 3% 最優惠利率 + 3%	
Additional interest rate 附加結欠利息 *Loan to Value (LTV) ratio that exceeds 100% *貸款價值比 (LTV) 超過 100%。	8%	
Telegraph Transfer (T/T) 電匯費用	HK\$100 per transaction + actual bank charges 每單交易 100 港元，另加銀行實質收費	
Return Cheque Charge 退票費用	HK\$200 per case 每次 200 港元	
Stop Payment Charge 終止支票付款費	HK\$200 per case 每次 200 港元	
CIES Report Fee 資本投資者入境計劃申報費	HK\$20,000 per year (Charge yearly basis even less than 1 year) 每年 20,000 港元 (不足 1 年亦作 1 年收費)	

Note 備註：

<sup>#</sup> The applicable P rate is based on the prime rate set by BOCOM. Applicable interest rate for clients' credit balance is determined by Plutus Securities Limited ("the Company"). It is subjected to change without prior notice. <sup>#</sup> 以交通銀行(香港)有限公司之最優惠利率為基準，客戶之存款結餘利息按本公司自行厘定的利率計息，如有修改將不作另行通知。

\*In general, all the charges are denoted in HKD. For non-HKD based products, charges will be calculated and paid based on the settlement currency. Clients should deposit the relevant amount of fund in corresponding settlement currency. If the Company is requested to handle the money exchange for settlement, all relevant fund will be calculated at the exchange rate set by the Company. However, stamp duty, transaction levy, trading fee and stock settlement fee are required to be paid to the relevant authorities in HKD. The calculation of the relevant amount is subjected to the exchange rate published by the Hong Kong Monetary Authority. For odd lot trading, it can only be executed via manual order and commission is charged accordingly. All of above rates and charges are subjected to change and at the Company's sole discretion without prior notice. The above list is not exhaustive, please call our Customer Services Hotline (852) 2968 1192 for inquiries or visit our website [www.plutufingroup.com](http://www.plutufingroup.com) \*各項收費以港元計算。非港元結算產品收費以相關結算貨幣計算及支付，客人需自行存入相關結算貨幣之金額，如需透過本公司兌換外幣作交收，將以本公司所訂匯率為準；唯相關之印花稅、證監會交易徵費、港交所交易費、中央結算費等須以港元支付，本公司會根據香港金管局所厘定的匯率計算相關使費並代客支付予相關機構。碎股交易須透過人手下單，交易佣金以人手下單計算。本公司有權更改以上利率及收費而毋須另行通知，並保留最終決定權。收費未能盡錄，如有疑問，請致電本公司客戶服務熱線(852) 2968 1192 查詢或瀏覽本公司網站 [www.plutufingroup.com](http://www.plutufingroup.com) 查看最新收費表。